

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Vishwanathan et al.

Serial No.: 09/608,537

Filed: 6/30/2000

Title: POLISHING PADS FOR

CHEMICAL MECHANICAL

**PLANARIZATION** 

Group Art Unit:

3724

Examiner:

Eley, T.

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APR 14 2003
TC 3700 MAIL ROC

Attorney Docket No.: 126

Commissioner for Patents Washington, D.C. 20231

## INFORMATION DISCLOSURE STATEMENT

Dear Sir:

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This Information Disclosure Statement is submitted:

- under 37 CFR 1.97(b), or
  (Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- X under 37 CFR 1.97(c) together with either a:
  - \_\_\_ Statement under 37 CFR 1.97(e), or
  - X a \$180.00 fee under 37 CFR 1.17(p), or (After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- \_\_\_ under 37 CFR 1.97(d) together with a:
  - \_\_ Statement under 37 CFR 1.97(e), and
  - \_\_ a \$180.00 fee set forth in 37 CFR 1.17(p).
    - (Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

X Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware.

Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: March 31, 2003

Typed Name: Barbara A. Wiley

Signature: Barbara G. Moley

Reg. No. 34124

Attorney for Applicant(s)

Date: March 31, 2003

Telephone No.: 302-366-0500

PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE and to a collection of information unless it discloses as \$1.00. ation unless it displays a valid OMB control number Under the Paperwork Reduction Act of 1995, no persons are requin

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Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

| (\$) | 180.00 |
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|---|----------------------|--|--|--|--|--|
| Complete if Known   |                      |  |  |  |  |  |
| Application Number  | 09/608,537           |  |  |  |  |  |
| Filing Date   | 6/30/2000            |  |  |  |  |  |
| First Named Inventor  | Vishwanathan, et al. |  |  |  |  |  |
| Examiner Name   | Eley, T.             |  |  |  |  |  |
| Art Unit  | 3724                 |  |  |  |  |  |
| Attorney Docket No.   | 126                  |  |  |  |  |  |

| METHOD OF PAYMENT (check all that apply)                               |        | FEE CALCULATION (continued) |              |          |  |             |
|--|--------|-----------------------------|--------------|----------|--|-------------|
| Check Credit card Money Other None                                     |        | ADDITIO                     |              |          |  |             |
|  | Fee    | Fee Fee                     | Small<br>Fee | Fee Fee  | •  | Fee Paid    |
| X Deposit Account  | Code   | (\$)                        | Code         | (\$)     | Fee Description  |             |
| Deposit<br>Account<br>Number 500618                                    | 1051   | 130                         | 2051         | 65       | Surcharge – late filing fee or oath                              |             |
| Deposit<br>Account<br>Name Rodel Holdings, Inc.                        | 1052   | 50                          | 2052         | 25       | Surcharge – late provisional filing fee or cover sheet           |             |
| The Commissioner is authorized to: (check all that apply)              | 1053   | 130                         | 1053         | 130      | Non-English specification  |             |
| Charge fee(s) indicated below  | 1812   | 2.520                       | 1812         | 2.520    | For filing a request for ex parte reexamination                  |             |
| X Charge any additional fee(s) during the pendency of this application | 1804   | 920*                        | 1804         | 920*     | Requesting publication of SIR prior to                           |             |
|  |        |                             |              |          | Examiner action Requesting publication of SIR after              |             |
| Charge fee(s) indicated below, except for the filing fee               | 1805   | 1,840*                      | 1805         | 1,840*   | Examiner action  |             |
| to the above-identified deposit account.                               | ┨      |                             |              |          | Establish for early within first month                           | <del></del> |
| FEE CALCULATION  | 1251   | 110                         | 2251         | 55       | Extension for reply within first month                           |             |
| 1. BASIC FILING FEE  | 1252   | 410                         | 2252         | 205      | Extension for reply within second month                          |             |
| Large Entity   Small Entity  | 1253   | 930                         | 2253         | 465      | Extension for reply within third month                           |             |
| Fee Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$) Fee Paid    | 1254   | 1,450                       | 2254         | 725      | Extension for reply within fourth month                          |             |
| 1001 750 2001 375 Utility filing fee                                   | 1255   | 1,970                       | 2255         | 985      | Extension for reply within fifth month                           |             |
| 1002 330 2002 165 Design filing fee                                    | 1401   | 320                         | 2401         | 160      | Notice of Appeal   |             |
| 1003 520 2003 260 Plant filing fee                                     | 1402   | 320                         | 2402         | 160      | Filing a brief in support of an appeal                           |             |
| 1004 750 2004 375 Reissue filing fee                                   | 1403   | 280                         | 2403         | 140      | Request for oral hearing   |             |
| 1005 160 2005 80 Provisional filing fee                                | 1451   | 1.510                       | 1451         | 1.510    | Petition to institute a public use proceeding                    |             |
| 1005 100   2005 80 Provisional litting lee                             | 1452   | 110                         | 2452         | 55       | Petition to revive – unavoidable                                 |             |
| SUBTOTAL (1) (\$)  | 1453   | 1,300                       | 2453         | 650      | Petition to revive – unintentional                               |             |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUI                            | 1501   | 1,300                       | 2501         | 650      | Utility issue fee (or reissue)                                   |             |
| Fee from Extra Claims below Fee Pa                                     | 4500   | 470                         | 2502         | 235      | Design issue fee   |             |
| Total Claims -20**= X =  | 1503   | 630                         | 2503         | 315      | Plant issue fee  |             |
| Independent 3 X =  | 1460   | 130                         | 1460         | 130      | Petitions to the Commissioner                                    |             |
| Claims Multiple Dependent  | 1807   | 50                          | 1807         | 50       | Processing fee under 37 CFR 1.17(q)                              |             |
| Large Entity   Small Entity  | 1806   | 180                         | 1806         | 180      | Submission of Information Disclosure Stmt                        | 180.00      |
| Fee Fee Fee Fee Description  | 8021   | 40                          | 8021         | 40       | Recording each patent assignment per                             |             |
| Code (\$) Code (\$)  | 1002   | 70                          | 002.         | 40       | property (times number of properties)                            |             |
| 1202 18 2202 9 Claims in excess of 20                                  | 1809   | 750                         | 2809         | 375      | Filing a submission after final rejection (37 CFR § 1.129(a))    |             |
| 1201 84 2201 42 Independent claims in excess of                        | 1810   | 750                         | 2810         | 375      | For each additional invention to be examined (37 CFR § 1.129(b)) |             |
| 1203 280 2203 140 Multiple dependent claim, if not pa                  | d 1801 | 750                         | 2801         | 375      | Request for Continued Examination (RCE)                          |             |
| 1204 84 2204 42 **Reissue independent claims over original patent      | 1802   | 900                         | 1802         | 900      | Request for expedited examination of a design application        |             |
| 1205 18 2205 500 18 and 9 6 8 6 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9        |        |                             |              |          |  |             |
| 180 00 CH SB 135 Q85 127 (\$)  |        | fee (spe                    | cify)        |          |  |             |
| or number previously paid, if greater; For Reissues, see above         | *Redu  | ced by Bas                  | sic Filing   | Fee Paid | SUBTOTAL (3) (\$)  | 180.00      |

| SUBMITTED BY      | Complete (if       | Complete (if applicable)             |       |           |                |
|-------------------|--------------------|--------------------------------------|-------|-----------|----------------|
| Name (Print/Type) | Blake T. Biederman | Registration No.<br>(Attorney/Agent) | 34124 | Telephone | 302-366-0500   |
| Signature         | 13h 11/25          |                                      |       | Date      | March 31, 2003 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 37 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: